# Automata Theory and Formal Grammars: Lecture 7 Non-Context Free Languages

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#### **Simplifying CFGs: Chomsky Normal Form**

A question we are ultimately interested in: what can and can't we do with CFGs? I.e. are there languages that are not context-free?

- For regular languages, we showed how FAs can be simplified (minimized).
- This served as basis for proofs of nonregularity.

We will follow a similar line of development for CFLs, but with a twist.

- We will show how CFGs can be "simplified" into Chomsky Normal Form.
- We will use this simplification scheme as a basis for establishing that languages are not CFLs (among other things).

### **Non-Context Free Languages**

#### Last Time

- Context-free grammars and languages
- Closure properties of CFLs
- Relating regular languages and CFLs

#### Today

- An introduction to Chomsky Normal Form
- **Eliminating**  $\varepsilon$ -productions from CFGs
- Eliminating unit productions from CFGs
- A Pumping Lemma for CFLs
- Non-closure Properties for CFLs

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## **Defining Chomsky Normal Form**

<u>Definition</u> A CFG  $\langle V, \Sigma, S, P \rangle$  is in *Chomsky Normal Form* (CNF) if every production has one of two forms.

- $\blacksquare A \longrightarrow BC \text{ for } B, C \in V$
- $\blacksquare A \longrightarrow a \text{ for } a \in \Sigma$

#### Examples

- 1. Is  $S \longrightarrow \varepsilon \mid 0S1$  in CNF? No; both productions violate the two allowed forms.
- 2. Is  $S \longrightarrow SS \mid 0 \mid 1$  in CNF? Yes.

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### What's the Big Deal about CNF?

In an arbitrary CFG it is hard to say whether applying a production leads to "progress" in generating a word.

Example

Consider the following CFG G:

$$S \longrightarrow SS \mid 0 \mid 1 \mid \varepsilon$$

and look at this derivation of 01.

$$S \Rightarrow_G SS \Rightarrow_G SSS \Rightarrow_G SSSS \Rightarrow_G SSS \Rightarrow_G 0S \Rightarrow_G 01$$

The "intermediate strings" can grow and shrink!

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# **Converting CFGs into CNF**

Can every CFG G be converted into a CNF CFG G' so that  $\mathcal{L}(G')=\mathcal{L}(G)$ ?

No! If G' is in CNF, then  $\varepsilon \notin \mathcal{L}(G)$ !

However, we can get a CNF G' so that  $\mathcal{L}(G') = \mathcal{L}(G) - \{\varepsilon\}$ .

- 1. Eliminate  $\varepsilon$ -productions (i.e. productions of form  $A \longrightarrow \varepsilon$ ).
- 2. Eliminate *unit productions* (i.e. productions of form  $A \longrightarrow B$ ).
- 3. Eliminate terminal+ productions (i.e. productions of form  $A \longrightarrow aC$  or  $A \longrightarrow aba$ ).
- 4. Eliminate *nonbinary productions* (i.e. productions of form  $A \longrightarrow ABA$ ).

#### What's the Big Deal about CNF? (cont.)

Applying a production in a CNF grammar always results in "one step of progress": either the number of nonterminals grows by one, or the number of terminals increases by 1.

Example

Consider G' given below.

$$S \longrightarrow SS \mid 0 \mid 1$$

The derivation for 01 is:

$$S \Rightarrow_{G'} SS \Rightarrow_{G'} 0S \Rightarrow_{G'} 01.$$

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### **Eliminating** $\varepsilon$ **-Productions**

CNF grammars contain no  $\varepsilon$ -productions, and yet arbitrary CFGs may. To convert a CFG to CNF, we therefore need a way of eliminating them.

Of course, CFGs without  $\varepsilon$ -productions cannot generate the word  $\varepsilon$ .

Goal Given CFG G, generate CFG  $G_1$  such that:

- $G_1$  has no  $\varepsilon$ -productions; and
- $\blacksquare \mathcal{L}(G_1) = \mathcal{L}(G) \{\varepsilon\}.$

# Eliminating $\varepsilon$ -Productions: The Naive Approach

Can we just eliminate the  $\varepsilon$ -productions?

No! What would language of new grammar be if we eliminate the  $\varepsilon$ -production in the following?

$$S \longrightarrow \varepsilon \mid 0S1$$

Answer Ø

- The new grammar would be  $S \longrightarrow 0S1$ .
- Every derivation looks like:  $S \Rightarrow_G 0S1 \Rightarrow_G 00S11 \Rightarrow_G \cdots$ .
- $\blacksquare$  That is, can't get rid of S!

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# **Nullability**

E.g. Consider the following CFG.

$$S \longrightarrow ABCBC$$

$$A \longrightarrow CD$$

$$B \longrightarrow Cb$$

$$C \longrightarrow a \mid \varepsilon$$

$$D \ \longrightarrow \ bD \mid \varepsilon$$

A is nullable since  $A \Rightarrow_G CD \Rightarrow_G D \Rightarrow_G \varepsilon$ .

Why are variables nullable? Because of  $\varepsilon$ -productions! So nullability is the "derivational capability" that  $\varepsilon$ -productions add to a CFG.

#### So How Can We Eliminate ε-Productions?

 $\varepsilon$ -productions add "derivational capability" in CFGs by allowing variables to be "eliminated" in a derivation step.

Example

Consider the CFG G given as follows.

$$S \longrightarrow \varepsilon \mid 0S1$$

The derivation  $S \Rightarrow_G 0S1 \Rightarrow_G 01$  uses the  $\varepsilon$ -production to get rid of S.

If we want to eliminate  $\varepsilon$ -productions, we need to add new productions that preserve this derivational capability.

- 1. Precisely what "derivational capability" do  $\varepsilon$ -productions provide?
- 2. How can we recover this capability without  $\varepsilon$ -productions?

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### Generating a $\varepsilon$ -Production-Free CFGs

Let  $G=\langle V,\Sigma,S,P\rangle$  be a CFG, and let  $N\subseteq V$  be the set of nullable variables.

If we remove the  $\varepsilon$ -productions from G, we remove the capability of nullifying variables (i.e. "eliminating" them).

To restore this capability, we need to add productions in which nullable variables are explicitly removed.

Example

Consider

$$S \longrightarrow \varepsilon \mid 0S1$$

S is nullable; to eliminate  $\varepsilon\text{-production}$  we should add production  $S\longrightarrow 01.$  The new grammar:

$$S \longrightarrow 0S1 \ | \ 01$$

### Constructing $\varepsilon$ -Free CFGs

Let  $G = \langle V, \Sigma, S, P \rangle$  be a CFG, and let  $N \subseteq V$  be the set of nullable variables. Consider the following definition of  $G_1 = \langle V_1, \Sigma, S_1, P_1 \rangle$ .

$$\begin{split} V_1 &= V \\ S_1 &= S \\ P &= P - \{\, A \longrightarrow \varepsilon \mid A \longrightarrow \varepsilon \in P \,\} \\ &\quad \cup \, \{A \longrightarrow \alpha_0 \cdots \alpha_n \mid \alpha_0 \cdots \alpha_n \neq \varepsilon \wedge \exists A_1, ..., A_n \in N. \\ &\quad A \longrightarrow \alpha_0 A_1 \alpha_1 ... \alpha_{n-1} A_n \alpha_n \in P \} \end{split}$$

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# **Calculating the Set of Nullable Variables**

To generate  $G_1$ , we need to calculate the set  $N \subseteq V$  of nullable variables. We can do so by giving a recursive characterization of N.

Define  $N(G) \subseteq V$  as follows.

- If  $A \longrightarrow \varepsilon$  then  $A \in N(G)$ .
- If  $A \longrightarrow B_1 \cdots B_n$  and  $B_1, ..., B_n \in N(G)$  then  $A \in N(G)$ .

Lemma Let  $G=\langle V,\Sigma,S,P\rangle$  be a CFG, and let  $A\in V$ . Then  $A\in N(G)$  if and only if A is nullable.

Proof Use induction!

#### Huh?

#### $P_1$ contains:

- the non- $\varepsilon$ -productions in P, together with
- productions obtained by selectively omitting occurrences of nullable variables.
  - $\blacksquare A \longrightarrow \alpha_0 A_1 \alpha_1 ... \alpha_{n-1} A_n \alpha_n$  is a production in G.
  - The  $A_i$  are nullable variables.
  - The  $\alpha_i$  is the "stuff" in-between the  $A_i$ .
  - $\blacksquare A \longrightarrow \alpha_0 \cdots \alpha_n$  is a modified production with the  $A_i$ 's omitted.

The idea is that in the original grammar,  $A \Rightarrow_G^* \alpha_0 \cdots \alpha_n$  by "nullifying" the  $A_i$ . In  $G_1$ , this capability is realized in a single production.

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### **Example**

Consider G given as follows.

$$S \longrightarrow ABCBC$$
 $A \longrightarrow CD$ 

$$B \longrightarrow Cb$$

$$C \ \longrightarrow \ a \ | \ \varepsilon$$

$$D \ \longrightarrow \ bD \ | \ \varepsilon$$

First, calculate N(G).

$$N(G)_0 = \emptyset$$

$$N(G)_1 = \{C, D\}$$

$$N(G)_2 = \{A, C, D\}$$

$$N(G)_3 = N(G)_2$$

Recall G; remember that  $N(G) = \{A, C, D\}$ .

$$S \ \longrightarrow \ ABCBC \qquad C \ \longrightarrow \ a \ | \ \varepsilon$$

$$A \longrightarrow CD \qquad \qquad D \longrightarrow bD \mid \varepsilon$$

$$B \longrightarrow Cb$$

 $G_1$  is (boxed transitions are new ones):

$$S \longrightarrow ABCBC \mid ABCB \mid ABBC \mid ABB$$
$$\mid BCBC \mid BCB \mid BBC \mid BB$$

$$A \longrightarrow CD \mid \boxed{C} \mid \boxed{D}$$

$$B \longrightarrow Cb \mid \boxed{b}$$

$$C \longrightarrow a$$

$$D \longrightarrow bD \mid \boxed{b}$$

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### **Converting CFGs into Chomsky Normal Form**

- 1. Eliminate  $\varepsilon$ -productions  $(A \longrightarrow \varepsilon)$ .
- 2. Eliminate *unit productions*  $(A \longrightarrow B)$ .
- 3. Eliminate terminal+ productions  $(A \longrightarrow aC, A \longrightarrow aba)$ .
- 4. Eliminate nonbinary productions ( $A \longrightarrow ABA$ ).

Last time we proved the following.

Lemma Let G be a CFG. Then there is a CFG G1 containing no  $\varepsilon$ -productions and such that  $\mathcal{L}(G1) = \mathcal{L}(G) - \{\varepsilon\}$ .

I.e. we now know how to eliminate  $\varepsilon$ -productions! What about the others?

#### Where are we?

So Far

- Simplifying CFGs and Chomsky Normal Form (CNF)
- Eliminating  $\varepsilon$ -productions from CFGs.

To Do Eliminating:

- unit
- terminal+
- nonbinary productions

from CFGs.

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### **Eliminating Unit Productions**

**Definition** A *unit production* has form  $A \longrightarrow B$  where  $B \in V$ .

Like  $\varepsilon$  productions, they add "derivational capability" to grammars.

Consequently, if we eliminate them we need to "add in" productions that simulate derivations that involved them.

**Example** Consider G given by:

$$S \longrightarrow A \mid C$$

$$A \longrightarrow aA \mid B$$

$$B \longrightarrow bB \mid b$$

$$C \longrightarrow cC \mid c$$

In order to remove  $S \longrightarrow A$ , need to add e.g.  $S \longrightarrow aA!$ 

#### **But Which Productions Do We Need To Add?**

Suppose G is a CFG. Then unit productions allow derivations like this.

$$A \Rightarrow_G A_1 \Rightarrow_G A_2 \Rightarrow_G \dots \Rightarrow_G A_n \Rightarrow_G \alpha$$

where each  $A_i \in V$  is a single variable. If  $\alpha$  is not just a single variable, then we should add a production  $A \longrightarrow \alpha$ . How do we determine these  $\alpha$ 's?

Definition Let  $G = \langle V, \Sigma, S, P \rangle$  be a CFG, with  $A \in V$ . Then  $U(G, A) \subseteq V$  is defined inductively as follows.

- $\blacksquare A \in U(G,A).$
- lacksquare If  $B \in U(G,A)$  and  $B \longrightarrow C \in P$  then  $C \in U(G,A)$ .

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### **Example**

Let G be given as follows.

$$B \longrightarrow bB \mid b$$

$$C \longrightarrow cC \mid c$$

Then U(G, S) can be computed as follows.

$$U(G,S)_0 = \emptyset$$

$$U(G,S)_1 = \{S\}$$

$$U(G,S)_2 = \{S,A,C\}$$

$$U(G,S)_3 = \{S,A,B,C\} = U(G,S)_4$$

### U(G,A) and New Productions

Intuitively,  $B \in U(G, A)$  iff  $A \Rightarrow_G^* B$  using only unit productions!

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# **Example (cont.)**

$$S \longrightarrow A \mid C$$

$$A \longrightarrow aA \mid B$$

$$B \longrightarrow bB \mid b$$

$$C \ \longrightarrow \ cC \ | \ c$$

We can similarly show that  $U(G,A)=\{A,B\},$   $U(G,B)=\{B\},$  and  $U(G,C)=\{C\}.$  Then the new grammar should be:

$$S \longrightarrow \boxed{aA} \mid \boxed{bB} \mid \boxed{b} \mid \boxed{cC} \mid \boxed{c}$$

$$A \longrightarrow aA \mid bB \mid b$$

$$B \longrightarrow bB \mid b$$

$$C \longrightarrow cC \mid c$$

#### **Formal Construction**

Let  $G=\langle V,\Sigma,S,P\rangle$  be a CFG. Then we define  $G_2=\langle V,\Sigma,S,P_2\rangle$  as follows.

$$P_2 = \{ A \longrightarrow \alpha \mid \exists B \in U(G, A), \alpha. B \longrightarrow \alpha \in P \land \alpha \notin V \}$$

Fact Let  $G=\langle V,\Sigma,S,P\rangle$  be a CFG without  $\varepsilon$  productions, and let  $G_2$  be defined as above. Then the following hold.

- 1.  $G_2$  contains no  $\varepsilon$  productions.
- 2.  $G_2$  contains no unit productions.
- 3.  $\mathcal{L}(G_2) = \mathcal{L}(G) \{\varepsilon\}.$

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### **Example**

Let G be given by:

$$S \longrightarrow aSb \mid aS \mid Sb \mid a \mid b$$

Then  $G_3$  is:

$$S \longrightarrow X_a S X_b \mid X_a S \mid S X_b \mid a \mid b$$

$$X_a \longrightarrow a$$

$$X_b \longrightarrow b$$

### **Eliminating Terminal+ Productions**

Definition A production  $A \longrightarrow \alpha$  is *terminal+* if  $|\alpha| \ge 2$  and  $\alpha$  contains at least one terminal.

### Examples

- $\blacksquare A \longrightarrow Ca$
- $\blacksquare A \longrightarrow aba$

Eliminating these is fairly simple:

- Introduce a new variable  $X_a$  for each terminal  $a \in \Sigma$ .
- Add productions  $X_a \longrightarrow a$ .
- In each terminal+ production, replace terminals a by variables  $X_a$ .

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#### **Formal Construction**

Let  $G=\langle V,\Sigma,S,P\rangle$  be a CFG. Then we define  $G_3=\langle V_3,\Sigma,S,P_3\rangle$  as follows.

$$V_3 = V \cup \{ X_a \mid a \in \Sigma \}, \text{ where } X_a \notin V \cup \Sigma$$

$$P_3 = \{ A \longrightarrow \alpha' \mid A \longrightarrow \alpha \in P \}$$

 $\land \ \alpha'$  is  $\alpha$  with a replaced by  $X_a$  if  $A \longrightarrow \alpha$  is terminal+ $\}$ 

$$\cup \{ X_a \longrightarrow a \mid a \in \Sigma \}$$

Lemma Let G be a CFG without  $\varepsilon$ - or unit-productions, and let  $G_3$  be constructed as above. Then the following are true.

- 1.  $G_3$  contains no  $\varepsilon$  or unit productions.
- 2.  $G_3$  contains no terminal+ productions.
- 3.  $\mathcal{L}(G_3) = \mathcal{L}(G) \{\varepsilon\}.$

## **Eliminating Nonbinary Productions**

Definition

A production  $A \longrightarrow \alpha$  is *nonbinary* if  $|\alpha| \ge 3$ .

Example

$$A \longrightarrow BAB$$

How do we eliminate these?

- For each such production  $p=A\longrightarrow A_1A_2\cdots A_n$  and  $n\geq 3$ , we will introduce new variables  $X_{p,2},...X_{p,n-1}$ .
- Replace  $A \longrightarrow A_1 A_2 \cdots A_n$  by a collection of productions:

$$\begin{array}{ccc} A & \longrightarrow & A_1 X_{p,2} \\ X_{p,2} & \longrightarrow & A_2 X_{p,3} \\ & \vdots & & & \\ X_{p,n-1} & \longrightarrow & A_{n-1} A_n \end{array}$$

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# Example

Let G be:

Then  $G_4$  is:

#### **Explaining the Idea**

Suppose we have a production  $A \longrightarrow BCCD$ . The construction would replace it with the following.

$$\begin{array}{ccc} A & \longrightarrow & BX_{p,2} \\ X_{p,2} & \longrightarrow & CX_{p,3} \\ X_{p,3} & \longrightarrow & CD \end{array}$$

In the original CFG,  $A \Rightarrow_G^* BCCD$  in one step.

In the new CFG it takes three steps:

$$A \Rightarrow_{G_4} BX_{p,2} \Rightarrow_{G_4} BCX_{p,3} \Rightarrow_{G_4} BCCD.$$

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#### **Formal Construction**

Let  $G=\langle V,\Sigma,S,P\rangle$  be a CFG containing no terminal+ productions. Then we define  $G_4=\langle V_4,\Sigma,S,P_4\rangle$  as follows.

$$\begin{split} V_4 &= V \cup \{\, X_{p,i} \mid p = A \longrightarrow \alpha \in P \land 2 \leq i < |\alpha| \,\}, \text{ where } X_{p,i} \not\in V \cup \Sigma \\ P_4 &= \{\, A \longrightarrow \alpha \in P \mid |\alpha| \leq 2 \,\} \\ &\quad \cup \{\, A \longrightarrow A_1 X_{p,2} \mid p = A \longrightarrow A_1 ... A_n \in P \land n > 2 \,\} \\ &\quad \cup \{\, X_{p,i} \longrightarrow A_i X_{p,i+1} \mid p = A \longrightarrow A_1 ... A_n \in P \land n > 2 \land 2 \leq i < n-1 \,\} \\ &\quad \cup \{\, X_{p,n-1} \longrightarrow A_{n-1} A_n \mid p = A \longrightarrow A_1 ... A_n \in P \land n > 2 \,\} \end{split}$$

## **Correctness of Nonbinary Production Elimination**

Let G be a CFG without  $\varepsilon$ -, unit- or terminal+ productions, and let  $G_4$  be constructed as above. Then the following hold.

- 1.  $G_4$  has no  $\varepsilon$ -, unit- or terminal+ productions.
- 2.  $G_4$  has no nonbinary productions.
- 3.  $\mathcal{L}(G_4) = \mathcal{L}(G) \{\varepsilon\}.$

Note Since  $G_4$  contains no  $\varepsilon$ -, unit-, terminal+, or nonbinary productions, it has to be in Chomsky Normal Form!

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# A Pumping Lemma for CFLs

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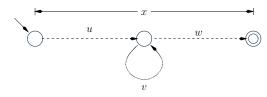
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## **Proving Languages Non-Regular**

Recall how we proved languages to be nonregular.

**Myhill-Nerode:** A language L is regular iff its indistinguishability relation  $I_L$  has finitely many equivalence classes.

**Pumping Lemma:** If L is regular, and  $x \in L$  is "long enough", then x can be split into u, v, w so that  $uv^iw \in L$  all i.



# **Proving Languages Non-Context-Free**

There's no Myhill-Nerode theorem for CFLs, but there is a Pumping Lemma: if L is a CFL and a word is "long enough" then parts of the word can be replicated.

### Questions

- What is "long enough"?
- Which parts can be "replicated"?

To answer these questions we'll:

- introduce the notion of "derivation tree" for CFGs;
- show that CFGs in Chomsky normal form have derivation trees of a specific form.

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#### **Derivation Trees**

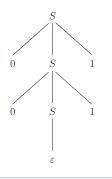
"Derivation sequences" show how CFGs generate words.

Example 0011:

Let G be  $S \longrightarrow \varepsilon \mid 0S1$ . Then to show that G generates

$$S \Rightarrow_G 0S1 \Rightarrow_G 00S11 \Rightarrow_G 00 \cdot \varepsilon \cdot 11 = 0011$$

A *derivation tree* is a tree-like representation of a derivation sequence.



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# **Formally Defining Derivation Trees**

Definition Let  $G = \langle V, \Sigma, S, P \rangle$  be a CFG, and let  $w \in \Sigma^*$ . Then a derivation tree for w in G is a labeled ordered tree satisfying the following.

- $\blacksquare$  The root is labeled by S.
- Internal nodes are labeled by elements of *V*.singset
- Leaves are labeld by elements of  $\Sigma \cup \{\varepsilon\}$ .
- If A is label of an internal node and  $X_1, ..., X_n$  are labels of its children from left to right then  $A \longrightarrow X_1 \cdots X_n$  is a production in P.
- $\blacksquare$  Concatenating the leaves from left to right forms w.

One can show that  $w \in \mathcal{L}(G)$  if and only if there is a derivation tree for w in G.

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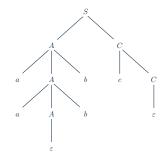
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### **Another Example Derivation Tree**

Let G be:

$$\begin{array}{ccc} S & \longrightarrow & AC \\ A & \longrightarrow & aAb \mid \varepsilon \\ C & \longrightarrow & cC \mid \varepsilon \end{array}$$

Then a derivation tree for *aabbc* is:



### **Derivation Trees and Chomsky Normal Form**

Suppose  ${\cal G}$  is in CNF; what property do the derivation trees for words have?

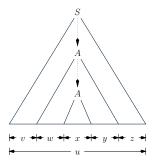
- No leaves are labeled by  $\varepsilon$ .
- Every internal node has either one child, which must be a leaf, or two children, which must both be internal.

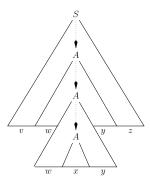




# When Are Words "Long Enough"?

If derivation tree for u is... ... then the following derivation tree also exists





If the CFG is in CNF, one can characterize when words are "long enough" to have such trees!

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### The Pumping Lemma for CFLs

#### Theorem

If  $L \subseteq \Sigma^*$  is a CFL

then there exists N>0 such that for all  $u\in L$ ,

if 
$$|u| \geq N$$

then there exist  $v, w, x, y, z \in \Sigma^*$  such that:

u = vwxyz and

|wy| > 0 and

 $|wxy| \leq N$  and

for all m > 0,  $vw^m xy^m z \in L$ .

What is N? If  $n_L$  is the smallest number of variables needed to give a CFG G in CNF with  $\mathcal{L}(G) = L - \{\varepsilon\}$ , then  $N = 2^{n_L - 1} + 1$ .

#### CFGs, CNF and "Long Enough" Words

Suppose  $G=\langle V,\Sigma,S,P\rangle$  is a CFG *in CNF*. We want to know how long a word  $w\in\mathcal{L}(G)$  has to be in order to ensure the existence of a derivation like the following.

$$S \Rightarrow_G^* vAz \Rightarrow_G^* vwAyx \Rightarrow_G^* vwxyz$$

- Such a path contains |V| + 2 nodes.
- All nodes except last one are labeled by variables.
- So some variable appears twice!

Since derivation trees in G must be binary (G is in CNF), the longest a word  $w \in \mathcal{L}(G)$  can be and have a derivation tree of height |V| is  $2^{|V|-1}$ .

So if  $|w| \ge 2^{|V|-1} + 1$ , then the "right kind" of derivation must exist!

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# **Proving Languages Non-Context-Free Using the Pumping Lemma**

As was the case with regular languages, we can use the contrapositive of the Pumping Lemma to prove languages to be non-CFLs

Lemma (Pumping Lemma for CFLs) L is a CFL  $\Longrightarrow P(L)$ , where P(L) is:

$$\exists N > 0. \, \forall u \in \boxed{L}. \, |u| \ge N \implies \exists v, w, x, y, z \in \Sigma^*.$$
 
$$(u = vwxyz \wedge |wy| > 0 \wedge |wxy| \le N \wedge \forall m \ge 0. \, vw^m xy^m z \in \boxed{L} )$$

Contrapositive  $|(\neg P(L)) \implies L$  is *not* a CFL.

So to prove L is not a CFL, it suffices to prove  $\neg P(L)$ , which can be simplified to:

$$\forall N > 0. \, \exists u \in L. \, |u| \ge N \land \forall v, w, x, y, z \in \Sigma^*.$$

$$(u = vwxyz \land |wy| > 0 \land |wxy| \le N) \implies \exists m \ge 0. \, vw^m xy^m z \not\in L)$$

# Example: Proof that $L = \{ a^n b^n c^n \mid n \ge 0 \}$ Is Not a CFL

On the basis of the Pumping Lemma it suffices to prove the following.

$$\forall N > 0. \, \exists u \in L. \, |u| \ge N \land \forall v, w, x, y, z \in \Sigma^*.$$

$$(u = vwxyz \land |wy| > 0 \land |wxy| \le N) \implies \exists m \ge 0. \, vw^m xy^m z \notin L)$$

So fix N>0 and consider  $u=a^Nb^Nc^N$ ; clearly  $u\in L$  and  $|u|\geq N$ . Now fix  $v,w,x,y,z\in \Sigma^*$  so that the following hold.

- u = vwxyz
- |wy| > 0
- $|wxy| \leq N$

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Automata Theory and Formal Grammars: Lecture 7 - p.45/48

#### **Ramifications**

- Non-context-free languages exist! Other examples:
  - $\blacksquare \{ ww \mid w \in \{a, b\}^* \}$
  - $\blacksquare \left\{ a^m b^n c^m d^n \mid m, n \ge 0 \right\}$

However,  $\{ a^m b^n c^n d^m \mid m, n \geq 0 \}$  is a CFL.

Moral In CFLs can count pairwise and "outside in".

■ CFLs are not closed with respect to  $\cap$ ! Let  $L = \{a^nb^nc^n \mid n \geq 0\}$ . Then  $L = L_1 \cap L_2$  where:

$$L_1 = \{ a^n b^n c^m \mid m, n \ge 0 \}$$

 $L_2 = \{a^m b^n c^n \mid m, n \ge 0\}$ 

Both  $L_1$  and  $L_2$  are CFLs.

#### **Proof (cont.)**

We wish to show that there is an m such that  $vw^mxy^mz\not\in L.$  There are two cases to consider.

- 1.  $wxy \in \{a, b\}^*$  (i.e. contains no c's).
- 2.  $wxy = w'c^i$  some i > 0,  $w' \in \{a, b\}^*$  (i.e. does contain c's).

For both cases, consider m=0. In case 1,  $vw^0xy^0z\not\in L$ , since  $vw^0xy^0z$  contains n c's but < n of either a's or b's. In case 2,  $w'\in\{b\}^*$  since  $|wxy|\leq N$ . Consequently,  $vw^0xy^0z$  contains n a's but < n b's or c's. So we have demonstrated the existence of m with  $vw^mxy^mz\not\in L$ , and L is not context-free.

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### **Ramifications (cont.)**

- CFLs are not closed with respect to complementation!
  - $\blacksquare$  CFLs are closed with respect to  $\cup$ .
  - $\blacksquare L_1 \cap L_2 = (L'_1 \cup L'_2)'$